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Assistant Commissioner for Patents
Washington, D.C. 20231
ATTN: BOX PATENT APPLICATION

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of
Inventor(s): William Pack
For (title): Mounting Arrangement for a Radiator Assembly of a Work Machine

Enclosed are:

- [x] 2 sheet(s) of drawings. (FORMAL) (2 sets)
- [x] An Assignment of the invention to Caterpillar Commercial SARL
- [X] Declaration enclosed.
- [X] Material information pursuant to 37 CFR §1.56.

[] It is expressly requested that the U.S. Patent and Trademark Office commence national processing of the above-entitled international application under the provisions of PCT Article 23(2) and 35 USC 371(f).

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The filing fee has been calculated as shown below:

CLAIMS AS FILED

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	18 - 20 =	0	x \$18	0.00
INDEPENDENT CLAIMS	3 - 3 =	0	x \$78	0.00
BASIC FEE				\$760.00
FILING FEE				\$750.00

[X] The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the pendency of the application to Deposit Account No.03-1129. Two copies of this sheet are enclosed

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"Express mail" mailing label number EL29995095905 Date of Deposit May 11, 1999
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

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DescriptionMOUNTING ARRANGEMENT FOR A RADIATOR
ASSEMBLY OF A WORK MACHINE5 Technical Field of the Invention

The present invention relates generally to radiators, and more particularly to a mounting arrangement for a radiator assembly of a work machine.

10 Background of the Invention

A work machine, such as a dump truck, typically includes a cab assembly and an engine enclosure attached to a main frame. The cab assembly and engine enclosure are attached to the main frame
15 such that the cab assembly is located behind the engine enclosure. The engine enclosure houses the radiator assembly and the engine of the work machine. The radiator assembly functions to cool the engine and thus maintain it within a predetermined temperature
20 operating range.

The addition of emission control devices to the engines of work machines has increased the cooling demands placed upon the radiator assembly. In order to accommodate these increased cooling demands the size of
25 the radiator assembly must be increased. While increasing the size allows the radiator assembly to accommodate the aforementioned increased cooling demands, it also results in causing other problems for an operator of the work machine. For example,
30 increasing the size of the radiator assembly results in having to increase the size of the engine enclosure in order to accommodate the radiator assembly. Increasing

the size of the engine enclosure tends to obstruct the forward view of an operator positioned within the cab assembly when operating the work machine. Obstructing the view of the operator is an annoyance and decreases
5 the efficiency of the work machine in performing various work functions.

What is needed therefore is a mounting arrangement for a radiator assembly of a work machine which overcomes the above-mentioned drawback.

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Disclosure of the Invention

In accordance with a first embodiment of the present invention, there is provided a work machine. The work machine includes a main frame and an engine
15 assembly mounted on the main frame. The work machine also includes a radiator assembly mounted on the main frame. The work machine further includes a transmission assembly (i) mechanically coupled to the engine assembly and (ii) mounted on the main frame such
20 that the transmission assembly is interposed between the engine assembly and the radiator assembly.

In accordance with a second embodiment of the present invention, there is provided a work machine. The work machine includes a main frame and an engine
25 assembly mounted on the main frame. The work machine also includes a radiator assembly mounted on the main frame. The work machine further includes a cab assembly mounted on the main frame such that the cab assembly is interposed between the engine assembly and
30 the radiator assembly.

In accordance with a third embodiment of the present invention there is provided a work machine. The work machine includes a main frame and an engine assembly mounted on the main frame. The work machine
5 also includes a radiator assembly mounted on the main frame. The work machine further includes a cab assembly mounted on the main frame such that the cab assembly is interposed between the engine assembly and the radiator assembly. The work machine also includes
10 (i) a work implement coupled to the main frame and (ii) a ground engaging mechanism mechanically coupled to the engine assembly, wherein actuation of the ground engaging mechanism by the engine assembly causes the work machine to be advanced over a ground segment.

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Brief Description of the Drawings

FIG. 1 is a side elevational view of a work machine which incorporates the features of the present invention therein (note that the engine enclosure, the
20 cowling, the ground engagement mechanism, and the implement of the work machine are shown in phantom for clarity of description);

FIG. 2 is another side elevational view of the work machine of FIG. 1 (note that the engine
25 enclosure, the cowling, the ground engagement mechanism, and the implement of the work machine are not shown for clarity of description); and

FIG. 3 is a top elevational view of the work machine shown in FIG. 1 (note that the engine
30 enclosure, the cowling, the ground engagement

mechanism, and the implement of the work machine are not shown for clarity of description).

Best Mode for Carrying Out the Invention

5 While the invention is susceptible to various modifications and alternative forms, a specific embodiment thereof has been shown by way of example in the drawings and will herein be described in detail. It should be understood, however, that there is no
10 intent to limit the invention to the particular form disclosed, but on the contrary, the intention is to cover all modifications, equivalents, and alternatives falling within the spirit and scope of the invention as defined by the appended claims.

15 Referring now to FIGS. 1, 2, and 3, there is shown a work machine 10 which incorporates the features of the present invention therein. Work machine 10 includes a main frame 12, an engine assembly 14, a radiator assembly 20, and a transmission assembly 16.
20 Work machine 10 also includes a cab assembly 18, a work implement 28, an engine fan 60, a pair of conduits 52 and 54, and a ground engaging mechanism 50.

 It should be understood that ground engaging mechanism 50 can include wheels as specifically shown
25 in FIG. 1. In the alternative, ground engaging mechanism 50 can also include a track chain (not shown) of the type typically utilized on crawler tractors. Moreover, it should be understood that work implement 28 can include a truck bed as shown in FIG. 1. In the
30 alternative, work implement 28 can include other types of work implements such as (i) a bucket for moving

earth or (ii) an earth moving blade of the type typically found on crawler tractors.

As shown in FIGS. 1 and 2, radiator assembly 20 includes a radiator fan 30 and a cooling core 32 having (i) an upper edge 42, (ii) a fluid inflow surface 34, and (iii) a fluid outflow surface 36. Radiator assembly 20 also includes a frame 72 which supports cooling core 32.

As shown more clearly in FIG. 1, conduit 52 has a first end 62 and a second end 64. Conduit 54 also has a first end (not shown) and a second end (not shown).

Engine assembly 14 is mounted on main frame 12 and enclosed by an engine enclosure 22. Transmission assembly 16 is also mounted on main frame 12. In addition, transmission assembly 16 is mechanically coupled to engine assembly 14 and ground engaging mechanism 50. Furthermore, it should be understood that the above described arrangement results in ground engaging mechanism 50 being mechanically coupled to engine assembly 14 via transmission assembly 16. Therefore, the actuation of engine assembly 14 results in the actuation of ground engaging assembly 50 so as to advance work machine 10 over a ground segment 56 in a direction indicated by arrow 74. Note that ground engaging assembly 50 can also be actuated by engine assembly 14 so as to advance work machine 10 over ground segment 56 in a direction indicated by arrow 76.

Radiator assembly 20 is also mounted on main frame 12 and enclosed by a radiator cowling 24. It

should be appreciated that radiator assembly 20 is mounted on main frame 12 such that transmission assembly 16 is interposed between engine assembly 14 and radiator assembly 20. In addition, radiator assembly 20 is mounted on main frame 12 so that cooling core 32 is interposed between radiator fan 30 and engine assembly 14. Preferably, as shown in FIG. 3, radiator assembly 20 is mounted on main frame 20 such that cooling core 32 of radiator assembly 20 is positioned at an angle relative to the longitudinal axis 48 of main frame 12. In particular, radiator assembly 20 is positioned such that (i) a linear extension of upper edge 42 of cooling core 32 defines a line L_1 , (ii) a line L_2 is defined by a line which intersects the longitudinal axis 48 of main frame 12 so as to define a 90° angle α therebetween, (iii) an angle σ is defined between line L_1 and line L_2 , and (iv) $40.0^\circ \leq \sigma \leq 95.0^\circ$.

As shown in FIG. 1, work implement 28 is mechanically coupled to main frame 12 such that radiator assembly 20 is interposed between work implement 28 and engine assembly 14. However, it should be understood that a work implement 28, such as an earth moving blade (not shown), can also be mechanically coupled to a front portion 76 of main frame 12 so that engine assembly 14 is interposed between work implement 28 and radiator assembly 20.

Cab assembly 18 is also mounted on main frame 12. In particular, cab assembly 18 is mounted on main frame 12 such that cab assembly 18 is interposed between engine assembly 14 and radiator assembly 20.

Engine fan 60 is mounted on main frame 12 such that engine assembly 14 is interposed between engine fan 60 and radiator fan 30 (see FIG. 2).

First end 62 of conduit 52 is coupled to engine assembly 14. Second end 64 of conduit 52 is coupled to radiator assembly 20. In particular, second end 64 of conduit 52 is coupled to cooling core 32 of radiator assembly 20. The first end and second end of conduit 54 are also respectively coupled to engine assembly 14 and to cooling core 32 of radiator assembly 20 in a manner similar to that described above for conduit 52. It should be appreciated that the above described arrangement places engine assembly 14 in fluid communication with radiator assembly 20 such that a cooling fluid 66 (see FIG. 1) can be circulated through engine assembly 14 and radiator assembly 20 via conduits 52 and 54.

Industrial Applicability

During the operation of work machine 10 relatively hot cooling fluid 66 is advanced from engine assembly 14 to cooling core 32 of radiator assembly 20 via conduit 52 by a pump (not shown). In addition, radiator fan 30 is actuated so that relatively cool air is pulled through fluid inflow surface 34 (see FIG. 1) of cooling core 32 in the direction indicated by arrows 58 (see FIG. 3). The aforementioned air then exits cooling core 32 in the direction indicated by arrows 38 (see FIG. 3) via fluid outflow surface 36 (see FIG. 2).

It should be appreciated that advancing air through cooling core 32 in the above described manner

places the air and the relatively hot cooling fluid 66 in a heat exchange relationship. In particular, as the relatively cool air is draw through cooling core 32, heat is transferred from the relatively hot cooling fluid 66 to the relatively cool air. This heat exchange causes the temperature of the air passing through cooling core 32 to increase, and the temperature of the cooling fluid 66 to decrease. Once cooling fluid 66 passes through cooling core 32 (and therefore the temperature of cooling fluid 66 has been decreased), cooling fluid 66 is returned to engine assembly 14 via conduit 54 such that heat is transferred from engine assembly 14 to cooling fluid 66. Cooling fluid 66 is then advanced back to cooling core 32 where the above described process is repeated. The aforementioned heat exchange that takes place as a result of circulating cooling fluid 66 through engine assembly 14 and cooling core 32 in the above described manner helps maintain engine assembly 14 within predetermined temperature operating limits.

In addition to actuating radiator fan 30, engine fan 60 is also actuated so as to advance relatively cool air over engine assembly 14 in a direction indicated by arrow 78. Advancing air over engine assembly 14 with engine fan 60 in the above described manner helps to maintain engine assembly 14 within the predetermined temperature operating limits.

It should be appreciated that mounting radiator assembly 20 in the above described manner has several advantages. For example, mounting radiator assembly 20 on main frame 12 such that radiator

assembly 20 is located behind cab assembly 18, and therefore is not enclosed within engine enclosure 22, eliminates the need for engine enclosure 22 to be large enough to accommodate radiator assembly 20. Therefore, the size of engine enclosure 22 can be reduced as compared to when radiator assembly 20 is contained within the engine enclosure. For example, as shown in FIG. 1, engine enclosure 70 represents the size an engine enclosure would have to be in order to accommodate radiator assembly 20. Reducing the size of the engine enclosure to the size of engine enclosure 22 allows an operator (not shown) to have a relatively unobstructed forward view from within cab assembly 18 as represented by arrow 68. This is in contrast to when the engine enclosure is the size of engine enclosure 70 since engine enclosure 70 results in having a relatively obstructed forward view from cab assembly 18.

Another advantage of mounting radiator assembly 20 in the above described manner is that the air flow through radiator assembly 20 is directed away from cab assembly 18. In particular, as discussed above, air is advanced through cooling core 32 in the direction indicated by arrows 58 and 38 (see FIG. 3). As previously discussed, the air is heated as it passes through cooling core 32, thus the air represented by arrows 38 is relatively hot as compared to the air represented by arrows 58. As shown in FIG. 3, the relatively hot air as represented by arrows 38 is directed away from cab assembly 18. This is in contrast to when the radiator assembly is located

within the engine enclosure since this configuration results in the relatively hot air being directed toward cab assembly 18 in the direction indicated by arrow 78. Directing the relatively hot air toward cab assembly 18 tends to increase the temperature within cab assembly 18 and thus make it uncomfortable for an operator positioned therein. On the other hand, positioning radiator assembly 20 as described herein results in the relatively hot air being directed away from cab assembly 18, and thus facilitates keeping cab assembly 18 within comfortable temperature limits.

Another advantage of mounting radiator assembly 20 in the above described manner is that the air flow through radiator assembly 20 is directed away from any obstacles. As shown in FIG. 3, the air flow (as represented by arrows 38) is directed away from work implement 28 and toward an unobstructed area. Directing the air flow to an unobstructed area facilitates the ability of radiator fan 30 to draw a relatively large volume of air through cooling core 32, and thus increases the heat exchange capacity of radiator assembly 20. Increasing the heat exchange capacity of radiator assembly 20 facilitates its ability to maintain engine assembly 14 within acceptable predetermined temperature limits.

This is in contrast to other arrangements where the radiator assembly is positioned such that air advanced through the cooling core is directed onto an obstacle, e.g. the engine block. As a result, the radiator fan's ability to advance a large volume of air through the cooling core of the radiator assembly is

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Claims

What is claimed is:

1. A work machine, comprising:
a main frame;
5 an engine assembly mounted on said main
frame;
a radiator assembly mounted on said main
frame; and
a transmission assembly (i) mechanically
10 coupled to said engine assembly and (ii) mounted on
said main frame such that said transmission assembly is
interposed between said engine assembly and said
radiator assembly.
- 15 2. The work machine of claim 1, wherein:
said main frame has a longitudinal axis,
said radiator assembly includes a cooling
core having an upper edge, and
said cooling core is positioned relative to
20 said longitudinal axis such that (i) a linear extension
of said upper edge defines a line L_1 , (ii) a line L_2 is
defined by a line which intersects said longitudinal
axis so as to define a 90° angle α therebetween, (iii)
an angle σ is defined between said line L_1 and said
25 line L_2 , and (iv) $40.0^\circ \leq \sigma \leq 95.0^\circ$.

3. The work machine of claim 1, further comprising a cab assembly mounted on said main frame, wherein:

5 said cab assembly is interposed between said engine assembly and said radiator assembly.

4. The work machine of claim 1, further comprising:

10 a work implement coupled to said main frame, and

 said radiator assembly is interposed between said work implement and said engine assembly.

15 5. The work machine of claim 4, wherein: said work implement includes ~~a~~ truck bed.

 6. The work machine of claim 1, wherein: said radiator assembly includes (i) a
20 radiator fan and (ii) a cooling core, and
 said cooling core is interposed between said radiator fan and said engine assembly.

7. The work machine of claim 6, further comprising an engine fan mounted on said main frame, wherein:

 said engine assembly is interposed between said engine fan and said radiator fan.

8. The work machine of claim 1, further comprising:

a conduit having (i) a first end attached to said engine assembly, (ii) a second end attached to said radiator assembly and, (iii) said engine assembly is in fluid communication with said radiator assembly; and

a cooling fluid which is advanced from said radiator assembly to said engine assembly through said conduit.

9. The work machine of claim 1, further comprising:

a ground engaging mechanism mechanically coupled to said engine assembly,

wherein actuation of said ground engaging mechanism by said engine assembly causes said work machine to be advanced over a ground segment.

10. A work machine, comprising:

a main frame;

an engine assembly mounted on said main frame;

a radiator assembly mounted on said main frame; and

a cab assembly mounted on said main frame such that said cab assembly is interposed between said engine assembly and said radiator assembly.

11. The work machine of claim 10, wherein:
said main frame has a longitudinal axis,
said radiator assembly includes a cooling
core having an upper edge, and

5 said cooling core is positioned relative to
said longitudinal axis such that (i) a linear extension
of said upper edge defines a line L_1 , (ii) a line L_2 is
defined by a line which intersects said longitudinal
axis so as to define a 90° angle α therebetween, (iii)
10 an angle σ is defined between said line L_1 and said
line L_2 , and (iv) $40.0^\circ \leq \sigma \leq 95.0^\circ$.

12. The work machine of claim 10, further
comprising:

15 a work implement coupled to said main frame,
and
 said radiator assembly is interposed between
said work implement and said cab assembly.

20 13. The work machine of claim 12, wherein:
said work implement includes a truck bed.

14. The work machine of claim 10, wherein:
said radiator assembly includes (i) a
25 radiator fan and (ii) a cooling core, and
 said cooling core is interposed between said
radiator fan and said cab assembly.

15. The work machine of claim 14, further comprising:

an engine fan mounted on said main frame such
5 that said engine assembly is interposed between said
engine fan and said radiator fan.

16. The work machine of claim 10, further comprising:

10 a conduit having (i) a first end attached to
said engine assembly, (ii) a second end attached to
said radiator assembly, and (iii) said engine assembly
is in fluid communication with said radiator assembly;
and

15 a cooling fluid which is advanced from said
radiator assembly to said engine assembly through said
conduit.

17. The work machine of claim 10, further comprising:

a ground engaging mechanism mechanically
coupled to said engine assembly,

wherein actuation of said ground engaging
mechanism by said engine assembly causes said work
25 machine to be advanced over a ground segment.

Abstract of the Disclosure

A work machine is disclosed. The work machine includes a main frame and an engine assembly mounted on the main frame. The work machine also includes a radiator assembly mounted on the main frame. 5 The work machine further includes a cab assembly mounted on the main frame such that the cab assembly is interposed between the engine assembly and the radiator assembly. The work machine also includes (i) a work 10 implement coupled to the main frame and (ii) a ground engaging mechanism mechanically coupled to the engine assembly, wherein actuation of the ground engaging mechanism by the engine assembly causes the work machine to be advanced over a ground segment.

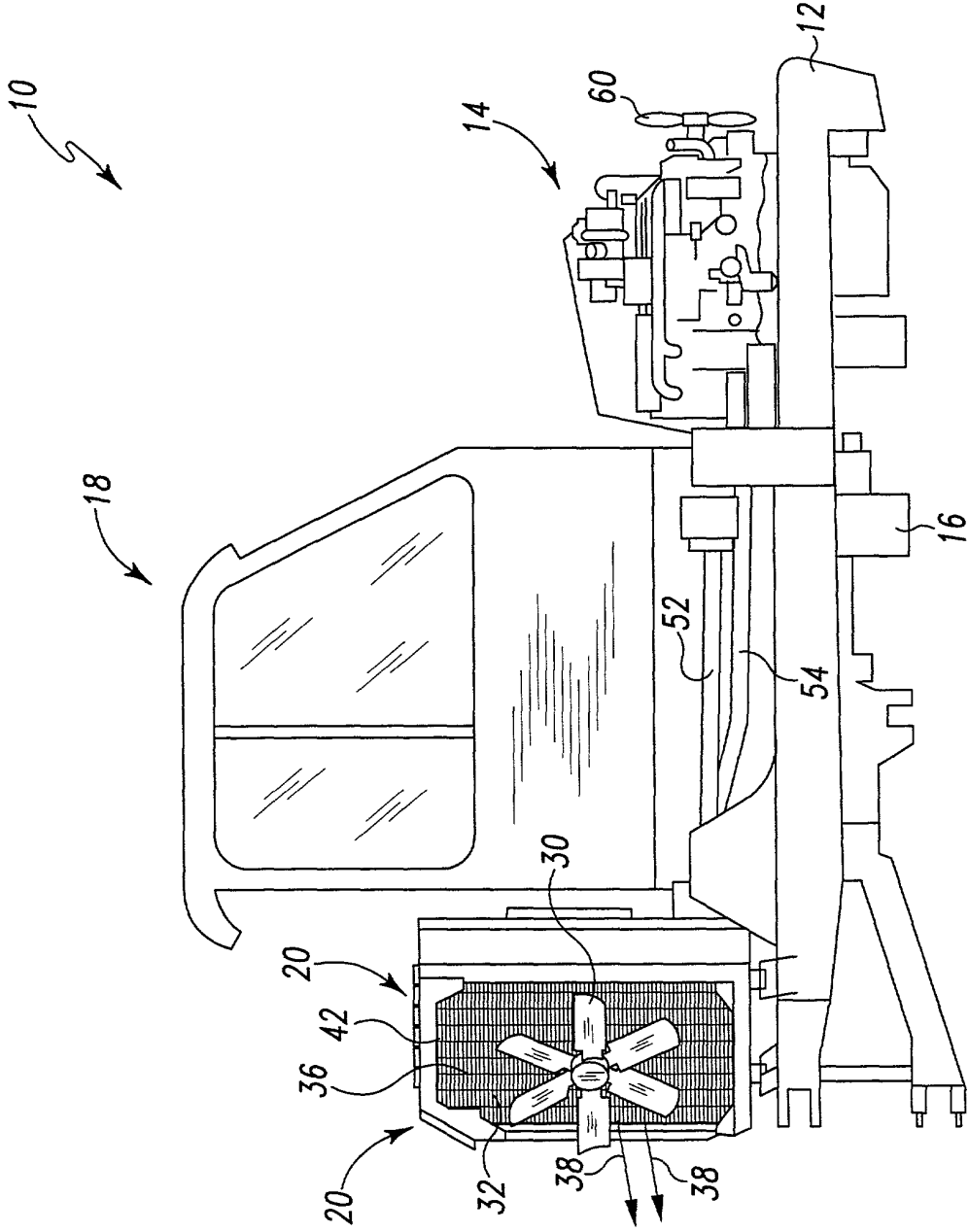


Fig. 2

DECLARATION AND POWER OF ATTORNEY

I, William Pack, declare that I am a citizen of the United Kingdom, residing at South Shields, England, and that I believe I am the original, first, and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MOUNTING ARRANGEMENT FOR A RADIATOR ASSEMBLY OF A WORK MACHINE

the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to be material to patentability as defined in §1.56. I further declare that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns.

I hereby appoint Paul J. Maginot, Patent Office Reg. No. 34,984, telephone (317)638-2922, Bradford G. Addison, Patent Office Reg. No. 41,486, telephone (317)638-2922, Stephen L. Noe, Patent Office Reg. No. 30,482, telephone (309) 675-4014, Joseph W. Keen, Patent Office Reg. No. 28,432, telephone (309) 675-5753, John J. Cheek, Patent Office Reg. No. 39,628 telephone (309) 675-4280, my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected with this application. Please address all correspondence to Paul J. Maginot, Maginot, Addison & Moore, Bank One Center/Tower, 111 Monument Circle, Suite 3000, Indianapolis, Indiana 46204-5130.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



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6 MAY '99

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